Quantum dots for solar energy harvesting

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Owing to their versatile optical and electrical properties, semiconductor quantum dots are attracting attention as a material of choice for solar energy conversion. The quantum dot sensitized solar cells are considered as one of the most promising nextgeneration solar cells as they have the advantage of tunable band-gap energy and multiple exciton generation. We present here a study on quantum dot sensitized solar cells considering their construction and working, impact of incorporation of nanomaterials in solar cells and various structures for improving the performance of solar cells.

Keywords: Light harvesting, multiple exciton generation, quantum dots, solar cells, tunable band gap.

ENERGY generation in the modern era always had a problem in keeping pace with the increased demand of the ever burgeoning population. The world is changing; the standard of living of the people is resulting in rapid increase in the global consumption of energy (10 trillion kWh at present) and the US Energy Information Administration predicts global energy demand of 35 trillion kWh in 2035 (ref. 1). The amount of energy that is showered by the sun on the earth in an hour is enough to fulfil the global need of energy for a year². The need of the hour, therefore, is some innovation that will provide electricity using the abundantly available solar energy at minimal expenses to support the base of the pyramid population.

As a cost-effective alternative to silicon-based photovoltaic systems, recently, quantum dot sensitized solar cells (QDSSCs) have gained considerable popularity. QDSSCs, an evolution from dye sensitized solar cells (DSSCs) which were first reported by O'Regan and Grätzel in 1991, are considered to have great potential as the next generation of solar cells (SCs). Several efforts have been made to obtain an ideal organic dye as a sensitizer to absorb photons in the full visible spectra. It has been a challenge to obtain such an ideal organic dye. Hence, narrow band-gap semiconductor quantum dots (QDs), such as CdSe, InAs, CdS and PbS became more popular as photosensitizers due to their versatile optical and electrical properties, such as higher stability towards oxygen and water, tuneable band gap depending on the QD size, multiple exciton generation (MEG) with singlephoton absorption and larger extinction coefficient^{3,4}.

Light absorption and exposure of the photon-sensitive material to light are the two main factors that govern the efficiency of a SC. Very high light absorption can be achieved using QDs adsorbed on nanostructured materials. Through tuning of the band gap of the QDs, absorption of various wavelengths of the visible spectrum of light can be achieved. Coupling QDs with semiconducting nanorods which have high surface area allows better tapping of sunlight as more photon-absorbing QDs can be coupled to the surface. The incorporation of nanomaterials improves photoenergy absorption owing to high available surface area. In this article, the impact of nanomaterials in QDSSCs and various possible structures for improving the performance of QDSSCs are presented.

Construction of QDSSCs

Figure 1 shows the typical construction schematic of QDSSC. It consists of a photoanode and a counter electrode separated by a redox couple^{5,6}. The photoanode consists of a wide band gap, mesoporous semiconductor layer attached to conducting glass and QDs adsorbed onto the semiconductor layer. QDs work as sensitizer in which electron-hole pairs are created upon exposure to light. Mesoporous structure of the semiconducting layer provides enhanced surface-to-volume ratio, which in turn facilitates enhancement in the adsorption of QDs onto it. The redox couple scavenges the photogenerated holes and produces electrical equilibrium in the semiconducting layer. Sulphide/polysulphide redox couple is most widely used because of its higher open circuit voltage and better stability for photovoltaic operation^{7,8}. Various additives have been explored with sulphide/polysulphide redox couple⁹. In fact, a new record of average power conversion efficiency of 12.3% of Zn-Cu-In-Se QD-based QDSSCs has been reported⁹, where 6 vol% tetraethyl orthosilicate is used as an additive in polysulphide electrolyte. $CdS^{10,11}$, $CdSe^{12,13}$, $ZnSe^{14,15}$, $PbS^{16,17}$, $Ag_2S^{18,19}$, $CuInS_2^{20,21}$, $CdTe^{22,23}$, $InP^{24,25}$ and $CdHgTe^{26,27}$ are materials of choice for QDs to be used as sensitizer in QDSSC design. The most popularly used wide band gap semiconductor in QDSSCs is TiO₂ (ref. 28). ZnO, SnO₂ and Nb₂O₅ are also reported to be used as mesoporous semiconducting layer in QDSSCs^{29–31}.

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Figure 1. a, Complete set-up of quantum dot sensitized solar cell. b, Single nanoparticle with an adsorbed quantum dot (QD) showing the energy bands.

Liu et al.³² used TiO₂ as wide band gap semiconductor and CdS/CdSe QDs as sensitizer with application of SiO₂ coating using successive ion layer adsorption and reaction (SILAR) and chemical bath deposition (CBD) method, and achieved a fill factor (FF) of 41% and efficiency of 2.05%. Seol et al.33 reported FF of 38.3% and efficiency of 4.15%, when they covered ZnO nanorods by CdS shells to reduce the charge recombination and CdSe QDs were utilized as sensitizer using CBD method. Yu et al.³⁴ reported a QDSSC design using CdS/CdSe QDs as sensitizer, TiO₂ as wide band gap semiconductor and Cu₂S in counter electrode using CBD method and achieved efficiency of 4% and FF of 60.1%. Fang et al.³⁵ using carbon nanofibres as counter electrode, CdSe QDs as sensitizer and TiO₂ as wide band gap

most sought after for DSSC photoelectrodes⁴⁰. The advantage of using ZnO over TiO₂ is its direct band gap (TiO₂ has an indirect band gap of 3 eV) and high exciton binding energy of 60 meV (refs 41, 42). ZnO also has a higher electron mobility (200 $\text{cm}^2/\text{V/s}$) than TiO₂

semiconductor achieved FF of 60% and efficiency of 4.81%. Radich et al.³⁶ prepared SILAR method based ODSSCs using CdS/CdSe as sensitizer and TiO₂ as wide band gap semiconductor and got FF of 46% and efficiency of 4.4%. Zhang et al.³⁷ reported FF of 63% and efficiency of 4.92% using larger sized TiO₂ as wide band gap semiconductor and CdS/CdSe as sensitizer. Hossain et al.³⁸ reported FF of 57% and efficiency of 5.21%. They used CdSe QD as sensitizer and TiO₂ as wide band gap semiconductor with a buffer layer of CdS following the SILAR method. Santra and Kamat³⁹ also used the SILAR method to prepare QDSSCs, and achieved FF of 47% and efficiency of 5.42% when they used Mn-doped CdS/CdSe and TiO₂. Zinc oxide with a direct band gap of 3.37 eV is also gaining attention along with TiO₂, which has been the $(30 \text{ cm}^2/\text{V/s})$, which makes it a better choice than TiO₂ (ref. 43). Another advantage of ZnO is that it has the largest collection of nanostructures ever recorded like nanorods/nanowires, hierarchical nanostructures, nanosheets, nanoneedles, nanotubes, etc. By controlling the structure of single crystalline ZnO, it is possible to reduce electron hopping and enhance electron mobility. The single crystalline ZnO nanorods provide a direct path for the diffusion of electrons through them. Further, densely grown ZnO nanorod arrays can provide a very high surface area compared to volume. ZnO nanorods can be grown using simple hydrothermal methods⁴⁴, making them the most suitable for low-cost QDSSC design. Numerous reports are also available on the use of ZnO nanostructures in QDSSC⁴⁵⁻⁴⁷. However, the reported efficiency of ZnO-based DSSCs is still lower than the TiO₂-based ones.

Impact of nanomaterials in QDSSCs

Incorporation of ODs in SCs is advantageous as it provides higher stability towards oxygen and water, tuneable band gap depending on size, multiple exciton generation with single-photon absorption, larger extinction coefficient and low cost.

Among these, band gap tenability and multiple exciton generation are gaining importance as they have a direct impact on photon absorption and photocurrent generation.

Tunable band gap

Band gap of QDs can be tuned by varying their size owing to quantum confinement phenomenon in nanometre scale^{48,49}, which leads to size-dependent optical properties of QDs. This is advantageous for controlled

light energy harvesting. CdS⁵⁰ and CdSe⁵¹⁻⁵³ QDs have been reported quite often for tunable band gap. With decrease in the size of QDs, the effective band gap energy increases. As a result, light absorption and emission shift towards higher energies. Blue shift in the optical spectra of CdSe⁵⁴ and CdS⁵⁵ QDs with decrease in size has been reported. Photocurrent increases with decrease in QD size owing to the shift of conduction band towards more negative potential, which in turn improves the condition for charge injection. On the contrary, with increase in the size of QDs, better absorption in the visible region is observed. However, smaller sizes have better electron injection into the transporting layer than the bigger ones. A demonstration of size-based optimization of efficient charge separation can be found in Vogel et al.⁵⁶. Improved photoelectrochemical response and photoconversion efficiency have been reported by Kongkanand et al.⁵² by varying the size of CdSe QDs. So a combination of different sized ODs must improve the photon absorption scenario, which will definitely improve the efficiency of SCs. Figure 2 is a schematic of photoanode which will absorb the entire visible spectrum; hence it can be termed as photoanode of rainbow SC.

Multiple exciton generation

If a photon with energy greater than the bandgap (E_g) of a semiconductor is incident on it, then the energy in excess



Figure 2. Schematic structure of photoanode of a rainbow solar cell. CURRENT SCIENCE, VOL. 115, NO. 4, 25 AUGUST 2018

of $E_{\rm g}$ gets lost after generating a single exciton. Based on this assumption, the maximum thermodynamic conversion in SCs is observed to be 43.9% (ref. 57).

This thermodynamics conversion limitation can be overcome by harnessing the carrier multiplication of the cells^{58–60}, which is considered an attractive technique to improve solar energy conversion. Carrier multiplication can be achieved in nano semiconductor crystals through impact ionization, a kind of inverse Auger process, where an exciton generated in a semiconductor by absorption of photo-energy greater than $2E_g$ relaxes by energy transfer of at least $1E_g$ to the band edge, thereby generating another exciton⁶¹. To increase the solar energy conversion through carrier multiplication, the rate of impact ionization has to be greater than the exciton relaxation by means of emission of phonons. The relaxation dynamics is largely affected by quantization effect, which can be produced in a semiconductor by dint of quantum confinement⁶²⁻⁶⁴. When the size of the nanosemiconductor crystals is comparable to Bohr radius, the rate of impact ionization increases extensively and becomes comparable to the rate of cooling of the hot carriers. Schaller and Klimov⁵⁷ carried out a detailed study on carrier multiplication in PbSe nanocrystals through impact ionization. Figure 3a shows the generation of biexcitaton. In the Auger process, two excitons recombine and produce highly energetic single excitons (Figure 3b). Figure 3cshows the immediate consequences of high photon excitation $(h\omega/E_g > 3)$; initially high-energy excitons form in nano semiconducting crystals and then some (n_{xx}) of the excitons go through impact ionization and produce biexcitons while some (n_x) simply relax to the band edge and remain as single excitons and with time biexcitons go through the Auger process and produce single excitons. The change in population of exciton for low pump photon energies shows a step function with time while those with high energies exhibit an exponentially decaying function (Figure 3 d).

Structures of QDSSCs

Apart from the structure discussed earlier in the text, many structures of SCs have been evolved based on QD sensitizers. Among them tandem SCs, core-shell SCs and plasmonic SCs have been able to draw the attention of researchers.

Tandem solar cells

The tandem SCs simultaneously address two key problems of SCs, viz. energy loss due to thermalization of hot charge carriers and sub-band gap transmission. It was reported that stacking multiple sub-cells in series can provide theoretical efficiency more than that of the Shockley–Queisser limitation⁶⁵. With increase in the



Figure 3. Demonstration of multiple excitation generation in QDSSCs (adapted from ref. 57). a, Impact ionization; b, auger process; c, dynamic carrier population; d, change in population with time.

number of sub-cells, efficiency increases and the maximum efficiency possible with infinite number of sub-cells is 68% (ref. 66). Figure 4 a shows the structure of a typical tandem SC. It comprises two (or more) independent sub-cells stacked on top of one another, which are based on acceptor-donor composite. The part of the wavelength not absorbed in the top sub-cell will impinge further to the bottom sub-cell. So the absorption spectra for both the sub-cells will be different as (Figure 4 b). Materials of unlike band gaps are used to reduce the thermalization loss. The intermediate layer needs to provide a platform for the recombination of electrons that are coming from one sub-cell with that of holes coming from the other sub-cell. This is precisely why this layer is also called the recombination layer. The materials for intermediate layer need to be selected to ensure that the quasi-Fermi level of the acceptor of the top sub-cell is aligned with that of the donor of the bottom sub-cell (Figure 4 c) or vice-versa. A very thin layer of Ag (ref. 67), or Au (ref. 68) is reported to be used as the intermediate layer. The intermediate layer is decomposed into two layers, one layer made of p-type material, just under the top sub-cell to transport holes and the other layer made of n-type material, just above the bottom sub-cell to transport electrons⁶⁹. At the interface of the p- and n-type materials, recombination of holes and electrons will take place.

If V_{OC1} and V_{OC2} are the open circuit voltages of sub-cells 1 and 2 respectively, then ideally the total open circuit voltage of the tandem SCs will be

$$V_{\text{Tandem}} = V_{\text{OC1}} + V_{\text{OV2}}.$$

In general,

$$V_{\text{Tandem}} = V_{\text{OC1}} + V_{\text{OV2}} + V_{\text{OC3}} + \cdots$$

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If I_{SC1} and I_{SC2} are the short circuit current of sub-cells 1 and 2 respectively, then the total short circuit current of the tandem SCs will be

$$I_{\rm SC} = \min(I_{\rm SC1}, I_{\rm SC2}).$$

In general,

$$I_{\rm SC} = \min(I_{\rm SC1}, I_{\rm SC2}, I_{\rm SC3} \cdots)$$

However, a tandem cell (shown in Figure 4) is not feasible using liquid electrolyte and hence, as an alternative, use of tandem semiconducting layers in the photoanode is reported^{70,71}. In a study by Santra and Kamat⁷⁰, three layers of CdSeS QDs of varying band gap were deposited in tandem using electrophoretic deposition method to the TiO₂-based photoanode of the QDSSC. Power conversion efficiency was reported to increase up to 1.97-2.81% compared to single-layer CdSeS. The authors⁷⁰ also reported that in two- and three-layered tandem QDSSCs, the maximum power conversion efficiencies were observed to be 3.2% and 3.0% respectively, which is greater than the three individually layered photoanodes. In another study by Lee et al.⁷¹, a passivation layer of Cu-ZnS was deposited in tandem with TiO₂/CdS layer to enhance light harvesting as well as suppress the surface charge recombination. A tandem structure of $TiO_2/CdS/Cu$ –ZnS was reported to have an improved efficiency of 3.35%, which is 82% higher than TiO_2/CdS -based QDSSCs (ref. 71).

Vitoreti et al.72 studied two different semiconducting protective layers (CdS and ZnS) in CdTe-based Q-DSSCs. The ZnS layer can reduce recombination losses with the electrolyte; however, it can also disturb the charge-transfer mechanism. So the thickness of the layer has to be optimum; if it is very thick, the redox couple may be prohibited from reaching the QDs, causing a decrease in the efficiency of the cell. The authors⁷² reported a reduction of 60% with ZnS layer covering CdTe QDs on TiO₂, when compared to the uncovered CdTe. However, use of CdS layer as passivation of the surface of the adsorbed QDs is reported to have 350% increase in cell efficiency, but cell stability is hampered. Vitoreti et al.⁷² also studied double layer passivation with both CdS and ZnS in tandem. Cell efficiency was reported to increase by 600% with double layer of passivation compared to the cells containing only CdTe. The authors⁷² proposed that CdS layer increases the absorption range and ZnS layer reduces the recombination losses with the electrolyte.

Core-shell solar cell



Figure 4. Tandem solar cell: *a*, structure; *b*, absorption of its two subcells; *c*, charge transport in tandem SCs.

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Figure 5 shows the structure of a core–shell SC, where PbS quantum dot is at the core which is covered by CdS



Figure 5. Structure of core-shell based QDSSC (reproduced from ref. 75).



Figure 6. Demonstration of plasmonic effect in SCs.

shell. The ZnS coating gives twofold advantage of having the effect of blocking electrons⁷³, which possibly will recombine with the electrolyte, and coating defect states that may trap carriers⁷⁴.

Even though a typical SC absorbs a wide band of the solar spectrum, most of the absorbed energy is lost by rapid cooling of the hot carriers. In core-shell structure, cooling of the hot carriers is slower. When the shell is sufficiently thick, the lower energy holes are confined to the core and higher energy holes primarily stay in the shell itself, which leads to the electronic decoupling of higher and lower-energy holes. This is the reason for slow cooling of hot carriers in the core-shell structure, which helps in carrier multiplication. A photo-generated hot hole in the shell collides with a valence band electron of the core, exciting it to cross the band gap, which generates a second electron-hole pair. Lai et al.75 studied PbS core and CdS shell and found four times higher efficiency than what is obtained with simple PbS QDs coated with ZnS after deposition. Selopal et al.⁷⁶ conducted a study keeping the core size of CdSe/CdS-based core-shell solar cells fixed at 1.65 nm and varying the thickness of CdS shell. A maximum photoconversion efficiency of 3.01% was reported at a shell thickness of ≈ 1.96 nm. It was also reported that a favourable stepwise electronic band alignment for better electron transfer rate can be achieved by introducing a $CdSe_xS_{1-x}$ interfacial alloyed layer between CdSe core and CdS shell. CdSe/(CdSe_xS_{1-x})₅/ (CdS)₁ core/shell QD-based QDSSCs are reported to have the maximum photoconversion efficiency of 6.86% (ref. 76).

Plasmonic solar cells

For higher absorption, design of SCs demands a thicker structure and for higher carrier collection, the demand is a thinner structure. Thickness of the SCs is therefore a vital parameter to be optimized. It is highly desirable to have an optically thick but physically thin structure of a SC, which can be achieved by plasmonic SCs where light trapping mechanism is introduced in already designed cells. Trapping can produce comparatively higher intensity of light at the surface of the sensitizer, which in turn leads to higher absorption and hence greater generation of electron-hole pairs.

The phenomenon of plasmon resonance increases the absorption of light into the SCs mainly by the following three ways.

1. By scattering light into the SCs, thereby increasing path length and hence absorption. When plasmonic nanoparticles scatter light at resonance frequency, they lead to a prolonged path of light compared to the non-scattered one. If the surrounding medium is homogeneous, then scattering takes place uniformly in both forward and backward directions. On the contrary, if the surrounding medium is inhomogeneous, then light is scattered preferably into the medium with higher dielectric constant. That is the case when metal nanoparticles are placed on top of the SC (Figure 6a), with air (or any medium with lower dielectric constant) as surrounding medium. Scattered light gets trapped inside the SCs, if scattering takes place at an angle larger than the critical angle of the interface. This trapped light acts as a waveguide inside the cells, leading to prolonged path length and hence absorption by the cells. It also leads to higher current density in both thin and thick cells on index-matched substrates. This mechanism is influenced by the size of the nanoparticles. Larger nanoparticles result in increase of scattering efficiency, while smaller ones are preferred for scattering light more in the forward direction. This trade-off is overcome by placing the nanoparticles at the rear end between the glass substrate and transparent conductive layer. Moreover, plasmonic nanoparticles placed at the rear end help to excite propagating waveguide mode within the absorbing layer. This can lead to propagation of light either in surface plasmon polariton mode or photonic waveguide mode. In either cases, light is guided along the lateral direction of SCs, which in turn increases the path length and hence improves absorbance^{77,78}.

2. Absorption enhancement due to intensified near field around the plasmonic nanoparticle. It is known that the probability of electron excitation is proportional to the electric field⁷⁹. Thus, an intensified electric field around



Figure 7. *a*, Optical absorptance of Ag nanoparticles on ITO glass. *b*, External quantum efficiency enhancement of SCs via introduction of Ag nanoparticles (reproduced from ref. 86).

plasmonic nanoparticles (Figure 6 *b*) results in enhanced excitation in the surrounding semiconductor and can store light energy as plasmon oscillation. Optical absorption is also proportional to the electric field. So a high electric field always results in increased absorption. Coupling of this energy into the surrounding semiconductor is possible only if the absorption coefficient of the semiconductor is greater than the inelastic plasmon decay time; otherwise the incident light energy will be absorbed in the plasmonic nanoparticles. This theory has been proved by Hägglund and co-workers^{80–82}. This phenomenon is largely influenced by the shape and size of the plasmonic nanoparticles, metal–semiconductor interface, surface roughness of the metal and presence of metal oxide layer.

3. Decay of plasmon resonance by exciting electronhole pair in the metal nanoparticles. Excitation of electron-hole pair in the metal nanoparticles takes place when the plasmon resonance decays (Figure 6c). If the nanoparticle work function and semiconductor conduction band are properly aligned, then the electron generated can be transferred to the semiconductor, thus leaving the plasmonic nanoparticle charged. This can cause an increase in the short circuit current of the SC, if the nanoparticles are not electrically isolated from it, and thus leads to enhancement in the performance of SCs^{83,84}. It is established that based on charge injection generated by plasmonic decay, efficiencies up to 7% can be achieved⁸⁵ when injection is through a Schottky barrier formed between the metal nanoparticle and semiconductor⁸⁵. On the other hand, because of the broad distribution of energy, many of the excited electrons might not have sufficient energy to overcome the Scottky barrier as metals have high density of unoccupied energy states above the Fermi energy. However, if barrier is small, a reverse current flows from the semiconductor to the metal particle, which might contribute towards lowering the efficiency of SCs.

based on $CdSe^{88}$, CdS^{89} InP/InGaAsP⁹⁰, PbS⁹¹ GaAs⁹², InGaN/GaN⁹³, InGaAs/GaAs⁸⁴, *c*-Si⁹⁵, *a*-Si:H⁹⁶, organic semiconductors^{97,98} such as polythiophene and copper pthalocyanine, and hybrid organic–inorganic devices such as DSSCs^{99,100}. Al, Au and Ag nanoparticles have been explored as plasmonic nanomaterial, and Ag has the highest quality factor¹⁰¹.

improved up to 25%.

Conclusion

Incorporation of nanostructured materials in QDSSCs can significantly improve light absorption owing to large surface-to-volume ratio offered by them. Tuneable band gap and multiple exciton generation in QDs have opened up innumerable possibilities in high-efficiency SC

Figure 7 a shows the impact of incorporation of Ag nanoparticles in absorptance. Fleetham *et al.*⁸⁶ studied or-

ganic SC with Ag nanoparticles as plasmonic material

and found that bigger size of metal nanoparticles is better

for predominant scattering. They also found that incorpo-

rating a buffer layer of PEDOT: PSS between the ITO

and the metal nanoparticles is better in the context to ef-

ficiency of the SCs, compared to direct adsorption of

metal nanoparticles on ITO (Figure 7 b). The maximum

external quantum efficiency was enhanced in the scale of

2.1 if optimum nanoparticles size was incorporated with

the cell and 30% increase in photocurrent was achieved. To have greater absorption enhancement, Fleetham *et*

al.⁸⁶ suggested incorporation of Ag nanopartices of size

more than 20 nm. Aneesh et al.⁸⁷ used Au nanoparticles

as plasmonic nanomaterial in organic SCs made of ITO/

MoO₃/P₃HT : PC₆₁BM/Al, and achieved 16% increase in

short circuit current density while conversion efficiency

photocurrent in different photovoltaics, including those

Researchers have demonstrated plasmon-enhanced

design. Nanostructured TiO₂ and ZnO are widely used as semiconducting material for the transport layer in QDSSCs. Though the efficiency obtained with TiO_2 is better than ZnO at present, the latter is gaining popularity as it has the advantage of higher electron mobility $(200 \text{ cm}^2/\text{V/s})$ than the former $(30 \text{ cm}^2/\text{V/s})$. Many structures of SCs have evolved based on QD sensitizers. Energy loss due to thermalization of hot charge carriers and sub-bandgap transmission can be addressed using tandem structures. A tandem structure of TiO2/CdS/Cu-ZnS is reported to have an improved efficiency of 3.35%, which is 82% higher than TiO₂/CdS-based QDSSCs⁷¹. It is reported that cell efficiency increases by 600% with double layer of passivation compared to cells containing only CdTe. In core-shell structure, cooling of the hot carriers is slower, which helps in carrier multiplication and hence improves efficiency. Lai et al.75 studied PbS core and CdS shell, and found four times higher efficiency than that obtained with simple PbS QDs coated with ZnS after deposition. $CdSe/(CdSe_xS_{1-x})_5/(CdS)_1$ core/shell QDbased QDSSCs are reported to have a maximum photoconversion efficiency of 6.86% (ref. 76). An optically thick but physically thin structure of a SC is highly desirable. It can be achieved by means of plasmonic SCs, where light trapping mechanism is introduced in already designed cells. This review will be helpful to SC enthusiasts working on novel designs.

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