Increase in articles published by authors from Mainland Chinese hospitals in high-impact journals: a comparison between 2012 and 2017

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The research outputs have rarely been studied in Mainland China. The present study aimed to analyse research articles published in high-impact journals (HIJs) by authors from Mainland Chinese hospitals in 2012 and 2017. All types of articles were searched in the PubMed and Embase databases. The durations were 1 January-31 December 2012 and 1 January-31 December 2017. Ten parameters were analysed. Overall, 205 and 871 articles were published in 2012 and 2017 respectively, in 198 HIJs. The proportion of articles published in journals with journal impact factor (JIF) > 25 was higher in 2017 than in 2012 (12.3% vs 9.8%). The number (proportion) of original articles increased from 146 (71.2%) in 2012 to 509 (58.4%) in 2017. There were 92 (44.9%) and 89 (43.4%) articles in basic and clinical research in 2012, whereas the numbers (proportions) were 409 (44.9%) and 230 (26.4%) respectively, in 2017. The number of articles acknowledging domestic (within China) and international (between Mainland China and other countries) cooperation in 2017 increased by almost seven-fold (281 vs 41) and eight-fold (289 vs 38) respectively. Funding acknowledgement was made in 85.4% and 75.8% of articles in 2012 and 2017 respectively. The number of articles published in HIJs by authors from Mainland Chinese hospitals has increased rapidly from 2012 to 2017, with only approximately 10% being published in journals with JIF > 25. The number of original articles on basic and clinical research has dramatically increased. Domestic and international cooperation and research funding are found to play an important role.

Keywords: Biomedical research, high-impact journals, scientific articles.

SCIENTIFIC research articles are an important aspect of scientific research output that show the inherent quality and level of research. The number and quality of articles are the two important indicators. Currently, the quality of articles is reflected by the widespread accepted journal

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impact factor (JIF), an index included in the *Journal Citation Reports (JCR)* published by Clarivate Analytics, which takes into account the outbound cited references from any of the five journal and proceedings indexes in the Web of Science¹. Therefore, JIF has become the most important indicator of research quality².

In Mainland China, the investment on scientific research has been growing by double digits each year since the implementation of the Reform and Opening-up policy in 1978. In 2016, investments reached 1.54 trillion RMB, accounting for 2.1% of the gross domestic product³. In 2016, China ranked second in the total number of publications and total citations, but only 15th in the average number of citations per article⁴. These data indicate that the quality of articles published by Chinese authors needs improvement.

Only a few studies have analysed scientific publications from China. Cheng⁵ and Wang *et al.*⁶ reported that

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GENERAL ARTICLES

the overall number of articles in orthopedics had significantly increased between 2000 and 2012; however. Liao *et al.*⁷ analysed the perceptions of Chinese biomedical researchers towards academic misconduct and reported that participants estimated that approximately 40% of published scientific articles were associated with some form of academic misconduct. However, there is no study specifically focusing on the scientific articles published in high-impact journals (HIJs) by Chinese authors.

Biomedical research has attracted a large amount of investment in China. In 2017, 38.6% of the total fund from the National Natural Science Foundation of China (NSFC) was allocated to biomedical research⁸. It is conceivable that the quality of scientific articles in biomedical research may also represent the level of scientific and technical advances in general in China, where researchers in the hospitals have contributed to most scientific articles in biomedical research⁹. Thus, analysis of scientific research articles published in HIJs by researchers working in Chinese hospitals would reflect the status and trend of biomedical research in China.

Therefore, the aim of this study was to analyse the scientific research articles published in HIJs by researchers who were listed as the first or corresponding authors and working in Mainland Chinese hospitals, with strategic recommendations to the researchers, as well as their affiliated hospitals, on how to publish articles in HIJs.

Methods

Definition of a high-impact journals and inclusion criteria

In the present study, a journal included in *JCR* 2017 and having a JIF of 10 or greater is defined as a HIJ.

The inclusion criteria for articles analysed in the present study were: (i) All types of articles, including original articles, review articles, case reports, letters, images, editorials, correspondence items, perspectives, etc. that were published in HIJs and (ii) A Mainland Chinese hospital as the affiliation of the first or corresponding author(s).

Search strategies

First, 198 journals with JIF of 10 or above in 2017 were identified from the *JCR*, according to the above-mentioned inclusion criteria. Then, a computerized literature search for articles that met the inclusion criteria described above was conducted in the PubMed and Embase databases. The durations were 1 January–31 December 2012 and 1 January–31 December 2017. The search terms were China [affiliation], AND Hospital [affiliation], AND Year [Date], AND ISSN of the Journals [Journal]. The detailed search terms are listed in the <u>Supplementary material</u>.

Search results were independently screened for eligibility by two reviewers (M. Wu and J. Liao) using the author affiliations. Discrepancies were resolved by consensus through discussions between the two reviewers. If a consensus was not reached, a third reviewer made the final decision. Once a publication was found to meet the inclusion criteria, all required information was collected, including name, ISSN and JIF, title and abstract of the article, publication year, the first/corresponding authors and their affiliations, research field/specialty, type of research, type of article, and source of funding acknowledged.

Variables analysed

A bibliometric analysis was then performed on the following parameters:

(1) Number of articles in 2012 and 2017: The total number of articles included in 2012 and 2017.

(2) Distribution of JIF for the articles: The number of articles published in journals with JIF of 10-15, 15-25, 25-40, 40-55, and more than 55.

(3) Type of article: The number of different types of articles including original, review, meta, letter and others (e.g., case report, image, correspondence, perspective, etc.).

(4) Type of research: The number of articles in basic and clinical research.

(5) The number of articles in various research fields: The number of articles in various research fields where authors from Chinese hospitals published mostly highimpact articles in 2012 and 2017. Data on the top 10 research fields were ranked in 2017 where Chinese authors published mostly high-impact articles.

(6) The number of articles with domestic and international cooperation: According to the affiliations of the corresponding authors or first authors, the number of articles in relation to domestic and international cooperation. Domestic cooperation was considered if the authors were from two or more different affiliations within China, including those in Mainland China, Hong Kong, Taiwan and Macao, and international cooperation was considered if any author(s) came from an affiliation of a country outside of China. An article with domestic and international cooperation was counted under international cooperation. Otherwise, no cooperation was considered.

(7) Source of funding: According to information in the acknowledgement section, the source of funding was classified into four categories, including: (i) Key Basic Research Foundation, (ii) NSFC, (iii) provincial and municipal funding, and (iv) others. The Key Basic Research Foundation included the National Basic Research

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Programme of China, the National High-tech R&D Programme of China, and other national projects. Others included the Ministry of Education funding, institutional funding and international funding.

(8) The top 10 journals that published mostly Chinese articles: The number of articles published in HIJs. According to these numbers, journals were ranked to select the top 10 journals that published mostly Chinese articles.

(9) The number of articles published in the top 10 journals with highest JIF. The top 10 journals in 2017 were CA: A Cancer Journal for Clinicians (impact factor (IF): 187.040), New England Journal of Medicine (IF: 72.406), Nature Reviews Drug Discovery (IF: 57.000), Chemical Reviews (IF: 47.928), Lancet (IF: 47.831), Nature Reviews Molecular Cell Biology (IF: 46.602), Journal of the American Medical Association (IF: 44.405), Nature Biotechnology (IF: 41.667), Nature Reviews Genetics (IF: 40.282) and Nature (IF: 40.137).

(10) The number of articles published in the top four popular general medical journals. These are *New England Journals of Medicine (NEJM)*, *Journal of the American Medical Association (JAMA)*, *Lancet* and *British Medical Journal (BMJ)*. We also considered the number of articles published in *Cell*, *Nature* and *Science*.

Results

Number of articles in 2012 and 2017 and the distribution of journal impact factors

The total number of articles published in these 198 journals by authors as first or corresponding authors from Chinese hospitals was 205 in 2012 and 871 in 2017 (<u>Supplementary material</u>). Full texts of all articles were obtained.

As shown in Table 1, 59.5% (n = 122) and 53.8% (n = 469) of the articles were published in journals with JIF 10–15 in 2012 and 2017 respectively, and 9.8% (n = 20) and 12.3% (n = 107) of the articles were published in journals with JIF > 25 in 2012 and 2017 respectively.

Type of article and type of research

As shown in Table 1, the number of original and review articles increased from 146 and 17 in 2012 to 509 and 44 in 2017 respectively, but the proportion decreased from 71.2% and 8.3% in 2012 to 58.4% and 5.1% in 2017 respectively. The number of meta-analysis articles was 15 in both 2012 and 2017, with the proportion being 7.3% and 1.7% in 2012 and 2017 respectively. It is noticeable that the number (proportion) of letters increased dramatically from 1 (0.5%) in 2012 to 137 (15.7%) in 2017. The number and proportion of other types of articles, such as case reports, images, editorials, correspondence items,

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perspectives, etc. also increased from 2012 to 2017 (26 vs 166 and 12.7% vs 19.1% respectively).

As shown in Table 1, there were 92 (44.9%) articles in basic research and 89 (43.4%) in clinical research in 2012; the number (proportion) increased to 409 (47.0%) and 230 (26.4%) respectively, in 2017. In other words, whereas the number of the articles in basic and clinical research was similar in 2012, it was about 1.7 times more in basic research than that in clinical research in 2017.

Articles in various research fields

Table 2 shows the top 10 research fields, as ranked in 2017, where Chinese authors mostly published highimpact articles. The top three research fields were gastroenterology and hepatology, cardiac and cardiovascular systems, and oncology in 2012, and gastroenterology and hepatology, medicine (general and internal), and multidisciplinary sciences in 2017.

Since Chinese authors published most high-impact articles in gastroenterology and hepatology in both 2012 and 2017, we further analysed the articles that were published in the top four journals in gastroenterology and hepatology. In 2012, 14, 3, 13 and 12 articles were published by Chinese authors in *Gastroenterology*, *Gut*, *Hepatology* and *Journal of Hepatology*, accounting for 2.6%, 0.9%, 2.4% and 2.4% of the total number of the articles published by these journals respectively. The number (proportion) increased to 37 (6.4%), 27 (8.9%), 67 (13.1%) and 23 (6.1%) respectively, in 2017.

 Table 1. Distribution of articles published in high-impact journals

 (HIJs) by authors from Mainland Chinese hospitals in terms of impact factor, article type and research type

	, J1	21
	Number (proportion) of articles in 2012 (n = 205)	Number (proportion) of articles in 2017 (n = 871)
Impact factor		
10–15	122 (59.5%)	469 (53.8%)
15-25	63 (30.7%)	295 (33.9%)
25-40	11 (5.4%)	45 (5.2%)
40-55	8 (3.95%)	37 (4.2%)
>55	1 (0.5%)	25 (2.9%)
Article type		
Original	146 (71.2%)	509 (58.4%)
Review	17 (8.3%)	44 (5.1%)
Meta	15 (7.3%)	15 (1.7%)
Letter	1 (0.5%)	137 (15.7%)
Others*	26 (12.7%)	166 (19.1%)
Research type		
Basic research	92 (44.9%)	409 (47.0%)
Clinical research	89 (43.4%)	230 (26.4%)
Others**	24 (11.7%)	232 (26.6%)

*Includes case reports, images, correspondence items, perspectives, etc. **Refers to articles that cannot be classified as either basic or clinical research, such as review, letter, etc.

	2012		2017		
Rank	Research field	Number of Chinese articles	Research field	Number of Chinese articles	
1	Gastroenterology and hepatology	43	Gastroenterology and hepatology	155	
2	Cardiac and cardiovascular systems	25	Medicine, general and internal	79	
3	Oncology	22	Multidisciplinary sciences	79	
4	Hematology	18	Cardiac and cardiovascular systems	72	
5	Endocrinology and metabolism	15	Materials science, multidisciplinary	51	
6	Respiratory system	11	Oncology	49	
7	Cell biology	10	Biochemistry and molecular biology	49	
8	Medicine, general and internal	7	Endocrinology and metabolism	39	
9	Biochemistry and molecular biology	7	Cell biology	36	
10	Urology and nephrology	6	Hematology	35	

Table 2. Top 10 research fields in which authors from Mainland Chinese hospitals published articles in HIJs in 2012 and 2017

Domestic and international cooperation and sources of funding

The number (proportion) of articles with domestic cooperation increased from 41 (20.0%) in 2012 to 281 (32.3%) in 2017. The number (proportion) of articles with international cooperation also increased from 38 (18.5%) in 2012 to 289 (33.2%) in 2017.

Table 3 shows the number and proportion of the articles that acknowledged grant funding. Funding acknowledgement was made in 85.4% (n = 175) and 75.8% (n = 660) of the articles respectively; the rates were 96.6% (n = 141) and 96.8% (n = 499) for original articles and 94.6% (n = 87) and 99.8% (n = 408) for articles in basic research respectively. NSFC funding was acknowledged in 60.0% (n = 123) and 55.0% (n = 479) of the articles in 2012 and 2017 respectively. In addition, funding from other sources, including Ministry of Education funding, institutional funding and international funding was acknowledged in 54.1% (n = 111) and 52.6% (n = 458) of the articles in 2012 and 2017 respectively.

Top 10 journals that published mostly Chinese articles and number of Chinese articles published in the top 10 journals in terms of journal impact factor

Table 4 shows the list of 10 high JIF journals that published mostly Chinese articles in the two years; 10 and 9 of these journals had JIF lower than 20 in 2012 and 2017 respectively. Among these journals, three were in gastroenterology and hepatology in 2012, whereas there were four in 2017.

Table 5 shows the number of Chinese articles in the top 10 journals. Notably, the number (proportion) of articles published in *NEJM* increased from zero (0.0%) in 2012 to 24 (1.6%) in 2017. Also, the number (proportion) of articles published in *Lancet* and *JAMA* increased from four (0.2%) and three (0.3%) respectively, in 2012 to 15 (1.0%) and 17 (1.5%) in 2017 respectively. In addition, the number (proportion) of articles published in *Nature*

increased from one (0.05%) in 2012 to five (0.2%) in 2017.

Articles published in the four popular general medical journals, and Cell, Nature and Science

Table 5 shows the number of the articles published in NEJM, Lancet, JAMA and BMJ. The number of articles published in NEJM was 0 in 2012 and 24 in 2017. Of the 24 articles, 12 were clinical studies (seven originals, five images), and the rest were letters, correspondence items, etc. The seven originals were prospective clinical trials with large samples. The number of articles published in Lancet was four (two clinical studies, including one original and one case report and two others) in 2012 and 15 (4 clinical studies, including 2 originals and 2 images and 11 others) in 2017. All the three originals published in 2012 and 2017 were national surveys with significant medical importance. The number of articles published in JAMA was three (all clinical studies, including one original and two images) in 2012 and 17 (4 originals in clinical research and 13 others) in 2017. All the five originals were multicentre RCTs. The number of articles published in BMJ was zero in 2012 and 12 in 2017. However, none of these articles was original. Taken together, among all 75 articles published in these four journals in the two years, only 15 (20.0%) were original articles, and the rest (80.0%) were meta-analyses, letters, case reports, images, etc.

In 2012, there was one article published in *Nature*, and no article was published in *Science* and *Cell*. In 2017, five, one, and six articles were published in *Nature*, *Science* and *Cell* respectively.

Discussion

The present study shows that the number of high-impact articles published by researchers from the Mainland Chinese hospitals has increased rapidly from 2012 to 2017.

 Table 3.
 Number and proportion of articles that acknowledged research grant funding

Funding acknowledgement	Number (proportion) of articles in 2012 ($n = 205$)	Number (proportion) of articles in 2017 ($n = 871$)		
Yes*	175 (85.4%)	660 (75.4%)		
Key Basic Research Foundation**	62 (30.2%)	208 (23.9%)		
NSFC	123 (60.0%)	479 (55.0%)		
Provincial and municipal funding	90 (43.9%)	284 (32.6%)		
Others [#]	111 (54.1%)	458 (52.6%)		
None	30 (14.6%)	211 (24.2%)		

*Two or more grant funds were acknowledged in some individual articles.

**Key Basic Research Foundation includes the National Basic Research Programme of China, the National High-tech R&D Programme of China, and other national projects.

[#]This includes the Ministry of Education funding, institutional funding and international funding.

NSFC, funding from the National Natural Science Foundation of China.

Table 4. Top 10 journals that publish most articles by authors from Mainland Chinese hospitals

	2012				2017			
Rank	Journal	Impact factor in 2017	Number of Chinese articles*	Proportion (%)	Journal	Impact factor in 2017	Number of Chinese articles*	Proportion (%)
1	Gastroenterology	18.392	14 (547)	2.6	Nature Communications	12.124	73 (4358)	1.7
2	Hepatology	13.246	13 (537)	2.4	Hepatology	13.246	67 (511)	13.1
3	Journal of Hepatology	12.486	12 (507)	2.4	Circulation	19.309	44 (793)	5.5
4	Annals of Oncology	11.855	12 (601)	2.0	Gastroenterology	18.392	37 (576)	12.2
5	Blood	13.164	12 (1682)	0.7	Cell Research	15.606	29 (159)	18.2
6	European Heart Journal	19.651	11 (540)	2.0	Gut	16.658	27 (304)	8.9
7	Journal of Pineal Research	10.391	8 (92)	8.7	ACS Nano	13.942	25 (1342)	1.9
8	Journal of the American College of Cardiology	19.896	8 (934)	0.9	New England Journal of Medicine	72.406	24 (1486)	1.6
9	Cell Research	15.606	7 (159)	4.4	Journal of Allergy and Clinical Immunology	13.081	24 (575)	4.2
10	American Journal of Respiratory and Critical Care Medicine	13.204	7 (601)	1.2	Journal of Hepatology	12.486	23 (379)	6.1

*Total number of articles published in the year is listed in parenthesis.

The number of original articles significantly increased with a relatively decreased proportion. Also, the number of articles in basic research dramatically increased, with a stable proportion. Gastroenterology and hepatology was the top research field where authors from Mainland Chinese hospitals published articles in HIJs in both 2012 and 2017. Domestic and international cooperation was acknowledged in more articles published in 2017 than in 2012. The number (proportion) of articles published in *NEJM*, *Lancet*, *JAMA*, *BMJ*, *Cell*, *Nature* and *Science* all increased from 2012 to 2017. To the best of our knowledge, there are no earlier reports on the status and trend of research articles published in HIJs by authors from Mainland Chinese hospitals.

In 2017, the Institute of Scientific and Technical Information of China reported that the number of articles published in SCI journals by Chinese authors increased by almost two-fold from 2012 (approximately 170,000 articles) to 2016 (approximately 320,000 articles)⁴. This finding, along with our results, indicates that both the overall number of scientific articles and the number of 'high-quality' scientific articles published in HIJs by authors from Mainland Chinese hospitals have increased over the five-year period. However, only about 10% of these articles was published in the journals with JIF > 25 in the present study, indic^ting that the quality of articles published by Mainland Chinese authors needs further improvement.

In the present study, the number of original articles increased by 3.5-fold, with a decrease in proportion, and the number of articles in basic research increased by almost 4.4-fold with an unchanged proportion from 2012 to 2017. The number of articles in clinical research increased by 2.6-fold from 2012 to 2017 (89 vs 230), but the proportion was significantly reduced (43.4% vs 26.4%). These findings clearly demonstrate that the publication of original articles, especially in basic research, in HIJs has significantly increased from 2012 to 2017. Domestic and international cooperation and grant funding may have played an important role by promoting basic research. In the present study, although the overall number of cooperation-derived and funded articles increased

Table 5.	Articles published by authors from Mainland Chinese hospitals in the top 10 journals in terms of impact factor in 2017, the four popular
	general medical journals and Nature, Science and Cell in 2012 and 2017

Rank		Impact factor in 2017	20	012	2017	
	Journal		Number of Chinese articles*	Proportion (%)	Number of Chinese articles*	Proportion (%)
1	CA: A Cancer Journal for Clinicians	187.04	0 (35)	0.00	0 (41)	0.00
2	New England Journal of Medicine [#]	72.406	0 (1667)	0.00	24 (1486)	1.60
3	Nature Reviews Drug Discovery	57.000	1 (156)	0.60	1 (135)	0.70
4	Chemical Reviews	47.928	0 (181)	0.00	0 (274)	0.00
5	Lancet [#]	47.831	4 (1667)	0.20	15 (1488)	1.00
6	Nature Reviews Molecular Cell Biology	46.602	0 (156)	0.00	0 (118)	0.00
7	Journal of the American Medical Association [#]	44.405	3 (1014)	0.30	17 (1131)	1.50
8	Nature Biotechnology	41.667	0 (255)	0.00	0 (242)	0.00
9	Nature Reviews Genetics	40.282	0 (147)	0.00	0 (118)	0.00
10	Nature	40.137	1 (2139)	0.05	5 (2193)	0.20
16	Science	37.205	0 (1768)	0.00	1 (1687)	0.06
22	Cell	30.410	0 (539)	0.00	6 (522)	1.10
47	British Medical Journal [#]	20.785	0 (1666)	0.00	12 (1435)	0.80

*Total number of articles published in the year is listed in the parenthesis.

[#]The four popular general medical journals.

significantly from 2012 to 2017, the proportion remained similar, especially for original articles and those in basic research. Sun *et al.*¹⁰ reported that the ratio of funding articles in China was 77.8%, much greater than USA, Germany, Britain, Japan, France, Italy, Canada, Spain and Australia. There was an average of 2.98 fund grants for each funded article published by authors from Mainland Chinese hospitals, which is higher than that in the countries listed above. In addition, it is believed that the dramatic increase in funding from NSFC, which primarily supports basic research, has also contributed to significant growth in the publication of original articles^{11,12}. In the present study, NSFC funding consistently contributed to at least 55% of the articles. Funding from other sources also played an important role in the growth.

The present study shows that the research field of gastroenterology and hepatology ranked one in publishing articles by authors from Mainland Chinese hospitals in both 2012 and 2017. A major reason for this would be that the incidence of hepatitis, especially hepatitis B and C, is high in China; so there has been extensive research on it^{13,14}. Some journals in the field of materials science were included in the present study, mainly due to the fact that researchers from Mainland Chinese hospitals have long collaborated with those in materials science in biomedical research and publication.

We observed that the study designs of the original articles published in the four popular general medical journals are generally national surveys with significant medical importance^{15–21}, prospective clinical studies with a large sample size^{22–24}, and multicentre RCTs^{25–29}; the authors were from different affiliations within China or even from other countries. Thus, domestic and international cooperation plays an important role for researchers from Chinese hospitals to publish in top journals.

Conclusion

Based on the above findings, we propose the following strategic recommendations. First, according to the findings of the original articles published in the four popular general medical journals, researchers may consider establishing and expanding domestic and international cooperation in order to carry out high-quality research. Second, NSFC funding consistently contributes to at least 55% of articles. So hospitals should give full support to researchers funded by NSFC to do basic research. In addition, funding from other sources was also acknowledged in over 50% of articles. So researchers should not ignore other funds. Third, original articles accounted for a small proportion of articles published in the four popular general medical journals, and majority were meta-analyses, letters, case reports, images, etc. Therefore, researchers may attempt to publish such types of articles in HIJs if there are insufficient resources to carry out large-scale, high-quality research.

Several limitations exist in the present study. First, only two databases, PubMed and Embase, were searched. However, these two are currently the most popular databases that cover all standard biomedical journals. Therefore, the present study would include almost all, if not all, research articles published by researchers from Mainland Chinese hospitals. Second, the top journals in some research fields, such as dentistry, dermatology, ophthalmology, orthopedics, otorhinolaryngology, etc. were not included as their JIF was not greater than 10. Therefore, the findings of the present study do not represent the research status in these fields.

In conclusion, the number of articles published in HIJs by authors from Mainland Chinese hospitals has increased rapidly from 2012 to 2017. However, the number of articles published in journals with JIF > 25 is still limited. The number of original articles in both basic and clinical research has also increased. Domestic and international cooperation and research funding are found to play an important role.

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