Assessment of saturation flow at signalized intersections: a synthesis of global perspective and future directions

Satyajit Mondal and Ankit Gupta*

Department of Civil Engineering, Indian Institute of Technology (Banaras Hindu University), Varanasi 221 005, India

Saturation flow assessment at signalized intersections is one of the key features for analysing various performance measures such as capacity, level of service, signal strategies and coordination, and prediction of traffic flow models. Thus, an accurate estimation of saturation flow value is more beneficial at signalized intersections. Several studies have focused on the estimation of saturation flow in different roadway, traffic and control conditions. Earlier, saturation flow estimation methods were developed purely based on homogeneous traffic, where vehicle interaction was relatively less. However, in mixed traffic, an uneven distribution can be observed due to different vehicle dynamics and manoeuvrability with weak lane discipline. Thus, many studies have been carried out to frame an appropriate saturation flow estimation method. This study presents a comprehensive review on various saturation flow estimation methods developed in different traffic conditions; the drawbacks of the each method are also summarized. Moreover, a list of influencing factors affecting saturation flow value is provided. The general challenges in saturation flow estimation followed by some future direction for improvement in prediction models are also highlighted.

Keywords: Estimation method, homogeneous and mixed traffic, influencing factors, saturation flow, signalized intersection.

THE flow characteristics at intersections in developed and developing countries are not the same due to the wide nature of vehicular parameters and behaviour along with varied traffic flow regulation. The traffic stream in most of the Western countries, usually stated as homogeneous traffic with high percentage of vehicles with similar characteristics (typically cars) and less percentage of heavy vehicles, follows proper lane discipline. Whereas the traffic stream in developing countries is purely mixed in nature, having different vehicle classes with wide static and dynamic properties travelling through the same road length without any lane separation. As a result, distinct nature of traffic flows can be observed at signalized intersections due to this basic difference between homogeneous and mixed traffic stream¹.

Assessment of saturation flow is an important major input for capacity estimation, traffic management, delay analysis and control measures at signalized intersections. It is defined as a steady-state discharge of queued vehicles from an intersection approach with continuous green signal and an infinite queue². In general, it can be measured by identifying the maximum departure rate of queued vehicles from an intersection approach during green signal under prevailing conditions. Webster³ first analysed the saturation flow at signalized intersections and proposed a linear relation of saturation flow with lane width for a homogeneous traffic scenario having no parked vehicles. The US Highway Capacity Manual (HCM) has defined some fundamental attributes related to flow characteristics at signalized intersections⁴. It has also proposed a general procedure for saturation flow estimation using a base flow value for each lane. For mixed traffic, the Indian Roads Congress suggests a basic saturation flow model with respect to approach width⁵. The Indian Highway Capacity Manual⁶ recommends a base saturation flow model for different approach widths followed by implementing three different adjustment according to the traffic scenario.

The varied nature of traffic in different countries significantly encourages researchers to analyse saturation flow and develop saturation flow models according to the prevailing roadway, traffic and control conditions. Continuous research work have been done both in macro- and micro-levels in the accurate estimation of saturation flow value through developing different estimation methods. A few studies highlight various aspects related to saturation flow such as influencing factors, suitable methods, etc. but have failed to deliver details for both homogeneous and mixed traffic streams^{7,8}. The parameters influencing saturation flow value are different at different locations and also vary with the nature of traffic. Thus, we need to review the factors affecting saturation flow and also various estimation methods suitable for different traffic conditions.

Parameters affecting saturation flow

Parameters affecting the saturation flow value are different at different traffic and roadway facilities. Researchers

^{*}For correspondence. (e-mail: ankit.civ@itbhu.ac.in)

Table 1. Factors considered in various guidelines for saturation flow estimation				
Guideline	Country	Parameters included	Critical comments	
ARRB 1968 (ref. 9)	Australia	AW, AG, IG, VT, TV, PC, BS, LU, WC	The ARRB considered all possible influencing factors in saturation flow estimation. Only signal parameters were not considered due to less variability in signal characteristics.	
IHCM 1993 (ref. 10)	Indonesia	AW, AG, TV, WC	The IHCM analysed and proposed a few parameters. However, a mixed nature of traffic can be observed, where several influencing factors could affect the saturation flow value.	
IRC SP: 41-1994 (ref. 5)	India	AW, AG, VT, TV, ST	This is the first guideline proposed earlier for representing Indian traffic scenario at a signalized intersection. Thus, few parameters related to roadway and traffic were included.	
FHCM 2002 (ref. 11)	Finland	AW, IG, VT, TV, PC, ST	This guideline does not consider the effect of side friction or vehicle activities (such as near-side or far-side bus stop) for analysing the saturation flow value. These parameters significantly affect the saturation flow value as it interrupts the nor- mal flow condition due to blockage.	
MHCM 2006 (ref. 12)	Malaysia	AW, AG, IG, VT, TV, PA, BS	The MHCM does not consider the influence of pedestrian activities and signal characteristics on saturation flow value. Being a developing country, vehicle–pedestrian interaction is a common phenomenon in Malaysia, usually observed at signalized intersections.	
HCM 2010 (ref. 4)	USA	AW, AG, VT, TV, PC, PA, BS, LU	The provisions of this guideline are adopted by different countries to propose their design aspects. However, this guideline does not considered the effect of signal timing and weather conditions, which are found to be critical in most of the countries.	
THCM 2011 (ref. 13)	Taiwan	AG, IG, VT, TV, PC, PA, BS, ST	The THCM included almost all the significant factors that can be found in most of the developing countries with mixed traffic situation. No such provision can be found to analyse the effect of approach width on saturation flow value.	
FGSV 2015 (ref. 14)	Germany	AW, AG, IG, VT, PC	This guideline only considered few adjustment factors related to roadway and traffic conditions. However, various blockage factors for parking and bus activities at intersections are not included.	
Indo-HCM 2017 (ref. 6)	India	AW, VT, TV, BS, ST	This is the newly developed guideline proposed for analysing the Indian traffic scenario. However, the model proposed for saturation flow estimation only considers a few adjustments factors.	

AW, Approach width; AG, Approach gradient; IG, Intersection geometry; VT, Vehicle type; TV, Turning vehicle; PC, Pedestrian crossing; PA, Parking activity; BS, Bus stop; LU, Lane utilization; ST, Signal time; WC, Weather condition.

from both developed and developing countries have found various factors associated with saturation flow and categorized them into three classes, viz. roadway conditions, traffic conditions and control and other conditions. Various guidelines developed for analysing traffic characteristics of the prevailing situation in different countries have highlighted several influencing factors that significantly affect the saturation flow value. The HCM has enlisted a total of 12 factors for analysing flow characteristics at signalized intersections⁴. Whereas some guidelines for developing countries have incorporated only few factors depending upon the roadway and traffic facility. Table 1 shows the different factors discussed in various manuals and guidelines proposed by several countries to analyse saturation flow value at signal controlled intersections.

Table 2. Parameters affecting saturation flow value				
Parameters	Inferences	Reference		
Approach width	The saturation flow value increases with increase in approach lane width. Majority of the studies have found a linear relationship between saturation flow and approach lane width.	12, 13, 22		
Type of lane	The saturation flow significantly reduces with the presence of upstream short lane. An optimum cycle length has to be proposed to obtain maximum capacity when upstream short lane exists. Also, shared lanes at intersections show significant improvement in saturation flow value.	17, 18, 47		
Number of lanes	Saturation flow is improved by 4.3% for three-lane configured approaches with respect to two-lane configured approaches. In some cases it may increase up to 25% for each additional through traffic lane.	14, 15		
Approach grade	When the approach grade is more than 2%, the saturation flow value will increase significantly with percentage increase of approach grade.	22		
Turning radius	The saturation flow value becomes more close to the base value with increase in turning radius.	22		
U-turn operation	The saturation flow value reduces when the percentage of U-turning vehicles is relatively high (more than 40). In addition, the effect of U-turn operation on saturation flow value increases with the increase in percentage of U-turning vehicles in left-turn lane.	21		
Flow characteristics	Higher percentage of two-wheelers in the traffic stream blocks the available area for vehicle movement, leading to a reduction in saturation flow value. Whereas, an increase in the proportion of larger vehicles results in higher saturation flow due to more lane disciplined flow.	2		
Presence of motorcycles	The headways of vehicles are different and corresponding to the start-up, lost time increases nonlinearly when the number of motorcycles increases in front of the queue. A low saturation headway with a high share of motorcycles.	25–29		
Percentage of heavy vehicles	Saturation flow value changes inversely with increase in percentage of heavy vehicles and the number of stops made by vehicles around the intersection. A 40% share of heavy vehicles in the traffic stream reduces the saturation flow value up to 30%, which is lower than the US HCM (2010) recommendations.	30-32		
Vehicle blockage	A modified passanger can unit (PCU) is identified to represent non-conventional local public transport vehicles, and also adjusts the bus blockage time to reflect the present traffic situation.	33–35		
Presence of bicycles	The presence of bicycles on saturation flow is analysed using the total occupancy, which is evaluated through bicycles and their proportion in right turn lane groups.	36		
Pedestrians crossing	An occupancy-saturation flow relationship is established for determining the relevant level of conflict-zone occupancy that effects a reduction in turning saturation flow.	37		
Parking activity	The service rate reduction for an under-saturated intersection might be higher when a vehicle is parked before it joins the queue at the red signal. The flow value reduction is always higher when the cars park after they discharge from the queue.	35, 38		
Signal characteristics	The start-up lost time is improved significantly and reduced by around 33% due to the installation of countdown signal characteristics.	39–42		
Weather conditions	Saturation flow changes significantly under different weather conditions, such as sunny, cloudy, dry and wet roadway surface, short and long duration of rainfall and snowfall. The rate of reduction in saturation flow value for long-duration rainfall is about 8.5%–12.3%; the 3.6% for short-duration rainfall, 10% for snowfall (wet roadway surface) and 11.4% for cloudy or foggy weather with a dry roadway surface.	43-46		
Area types	The different saturation headway values are observed both for recreational area and area with left and through movements. An adjustment factor value of 0.92 is defined for recreational areas and 1.0 for other areas.	14		

Moreover, many studies both from developed and developing countries have identified various factors affecting saturation flow such as approach width⁹⁻¹⁴, number of lanes^{15,16}, type of lane¹⁷⁻²¹, approach grade²², U-turn operation^{17,21}, turning radius²², flow characteris-tics^{23,24}, presence of motorcycles²⁵⁻²⁹, percentage of heavy vehicles³⁰⁻³², blockage due to vehicles³³⁻³⁵, presence of bicycle³⁶, pedestrian crossing³⁷, parking activity^{35,38}, signal characteristics^{39–42}, weather conditions^{43–46} and different area types⁴⁷. A significant outcome has been summarized by each of the studies for different traffic facility types. It is inferred that each of the factors needs to be considered while analysing saturation flow under prevailing roadway and traffic facility types. Table 2 shows the significant outcomes underlined by various researchers while analysing the different parameters

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affecting saturation flow at different traffic and roadway facilities.

Methods for saturation flow estimation

Saturation flow is a macro-level performance measuring parameter that usually indicates the operational characteristics of a signal-controlled intersection. It is a useful parameter to estimate various intersection characteristics and performance measures. At a signalized intersection, vehicles stop at the intersection area along the approach width during red signal and start dissipating when the signal changes to green. A number of methods were developed to estimate the saturation flow value at signalized intersections both for homogeneous and mixed traffic situations. A detailed discussion of each method is presented here.

Headway ratio method

Earlier studies related to saturation flow estimation focused on using headway ratio method⁴⁸⁻⁵⁰. The basic assumption of this method is that the headways of successive vehicles become constant after the departure of the first four vehicles in the queue. The saturation headway is the primary input of this method to estimate saturation flow value at signalized intersection⁵¹. Saturation headway is defined as the headway of the vehicles in a steady-state platoon movement passing through an intersection during green signal. A stable platoon movement is a group of vehicles that are travelling with similar speed characteristics. The headway of the first four vehicles has a higher value due to driver reaction time, which occurs in the first few seconds of green time. Thus, the saturation headway is not obtained up to the fourth vehicle position in the queue 17,40,52 .

Thus, if H_i^a denotes the average headway of vehicles from *i*th position of vehicle to the last vehicle in the queue (q) for xth cycle, then

$$H_{i}^{a} = \frac{\sum_{x=1}^{C} \sum_{i=1}^{q} H_{ix}}{\sum_{x=1}^{C} \sum_{x=1}^{C} i}.$$
(1)

Therefore, for a given traffic situation, if h_s is the saturation headways (sec), then the corresponding saturation flow value can be estimated using the following relationship

$$s = \frac{3600}{h_s},\tag{2}$$

where s is the saturation flow value (vehicles/h).

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HCM methodology

The HCM provides a general procedure for estimating saturation flow value at signalized intersections⁴. The proposed methodology that includes a base saturation flow and various adjustment factors to account for the effect of different roadway and traffic conditions is represented in eq. (3).

$$S = s_0 N f_W f_{HV} f_g f_e f_{bb} f_a f_{LU} f_{LT} f_{RT} f_{Lpb} f_{Rpb}, \qquad (3)$$

where *S* represents the saturation flow (vehicles/h); s_0 the base saturation flow value which is 1900 passenger cars/h based on the saturation headway of vehicles obtained after the departure of the first five vehicles; *N* is the number of lanes in the selected approach, and there are 11 different adjustment factors related to various roadway and traffic conditions such as approach lane width, proportion of heavy vehicles, percentage of approach grade, nearby parking activity, blockage due to bus operation, type of area, percentage utilization of lane, left-turn operation, right-turn operation, pedestrians left-turn and right-turn activity at the intersection respectively. Each adjustment factor is thoroughly discussed in the HCM⁴, and possible methodologies are mentioned to account for their effects on saturation flow value.

As the method proposed in HCM⁴ is solely based on homogeneous traffic conditions, therefore a number studies have analysed the different factors affecting saturation flow in mixed traffic situation. Chen et al.⁵³ analysed the effect of pedestrian activity characteristics such as pedestrian behaviour, pedestrian-vehicle interaction and pedestrian arrival on the capacity of right turning movement at signalized intersections. They also proposed a model to incorporate these three factors on flow characteristics. Shao et al.²² analysed the effect of lane width, approach grade, traffic composition and left turn radius on saturation flow value. A saturation flow model was proposed similar to the HCM model for analysing the above influencing parameters. Chen et al.¹⁷ proposed saturation flow model based on percentage of lane blockage, approach gradient, queue length and percentage of turning traffic for shared left-turn lanes at signalized intersections. Lila et al.²⁷ and Anusha et al.⁵⁴ studied the effect of percentage of motorized two-wheelers on saturation flow value, and a new adjustment factor based on percentage of motorized two-wheelers was proposed to be incorporated in the HCM model. Tuffour et al.³³ studied the bus blockage time appropriate to local public transport vehicles and introduced an empirical expression to adjusting for bus blockage in the HCM saturation flow model.

TRL method

It is one of the simple methods for estimating saturation flow value at signalized intersections. In this method,

classified vehicles count is considered for three consecutive saturated green intervals. Then the saturation flow can be estimated as the number of vehicles travelled in the middle interval divided by the length of the middle interval. Kimber *et al.*⁵⁵ estimated the saturation flow value for multilane approaches using the TRL method and found that saturation flow increased nonlinearly with the approach width. Williams and Griffiths⁵⁶ utilized the TRL method to estimate saturation flow value. They considered the classified vehicles count over the stop line for 6 sec intervals during the green and amber period of the cycles under saturated flow condition. Later, Turner and Harahap⁵⁷ estimated saturation flow value by modifying the basic TRL method for suitable application at signalcontrolled intersections.

Regression method

This is one of the useful soft-computing tools involved in developing relationships between variables. Therefore, it is necessary to identify the significant parameters that influence the dependent variables. Various studies utilized the regression method to develop models between various influencing parameters and saturation flow. Branston and Gipps⁵⁸ analysed the effect of vehicle type, green time and lost time on saturation flow using two multiple regression methods. Bhattacharya and Bhattacharya¹¹ suggested a simple linear relation to calculate the saturation flow value at different approach width conditions. Minh and Sano²⁵ studied the effect of motorcycles on saturation flow through developing a linear relationship between saturation flow, lane width and the number of motorcycles passing the stop line. Chand et al.⁵⁹ analysed the effect of various approach widths on saturation flow under mixed traffic. Also, a regression model was developed between saturation flow and percentage of two-wheelers and cars to understand the saturation flow variation at different green intervals. Moreover, a number of studies have shown that the regression method can be used to examine the effect of various factors on saturation flow value^{7,14,36,60-62}. Radhakrishnan and Mathew⁶³ proposed a saturation flow model for mixed traffic with respect to different percentage of vehicle share in the traffic stream. Saha et al.⁶⁴ developed a saturation flow model by considering the through traffic and right-turning traffic for mixed traffic situation. Yadav and Marsani⁶⁵ formulated a linear relationship between the effect of approach width, approach grad and rightturn radius on saturation flow value. Ramireddy et al.⁶⁶ analysed the effect of encroaching vehicles on saturation flow using a multilinear regression method.

Optimization method

In the field of transportation, optimization technique is one of the useful operations to solve critical problems.

Minimize
$$z = \frac{\sqrt{\frac{1}{N}\sum_{i=1}^{N} (s_{b} - s_{i}^{e})^{2}}}{\sqrt{\frac{1}{N}\sum_{i=1}^{N} (s_{b})^{2}} + \sqrt{\frac{1}{N}\sum_{i=1}^{N} (s_{i}^{e})^{2}}},$$
 (4)

$$s_i^e = \sum_j n_j p_j, \tag{5}$$

such that
$$p_j \ge p_{j\min}$$
, (6)

where z is the Theil's coefficient, s_b the base saturation flow (passanger car unit (PCU)/h), s_i^e the estimated saturation flow for *i*th interval, and n_j and p_j are the number of vehicles and its PCU value respectively.

Majhi⁶⁷ developed an optimization method to estimate saturation flow at signalized intersections through minimizing the difference between the flat portion of an ideal saturation flow curve and the observed saturation flow from the field. The proposed objective function is

Minimize
$$z = \sqrt{\frac{1}{n}} \sum_{i=2}^{n} \sum_{j=1}^{m} (x - y_i^j p^i)^2,$$
 (7)

such that
$$p_{\min}^j \le p^j \le p_{\max}^j$$
, (8)

where *n* is the number of saturation periods, p^{j} the passenger car equivalent of vehicle type *j*, *m* the vehicle type, *y* the number of vehicles of type *j* and *x* is the base saturation flow value.

Mondal *et al.*²⁴ proposed an optimization process that considers the flow during saturated green interval and includes it in the overall optimization process, which helps reduce the initial surge effect due to smaller vehicles during the first few seconds of green time interval. In addition, they also established that reducing the high flow value for mixed traffic, an explicit demonstration can be made in the optimization process for PCU estimation of each vehicle category in the mixed stream.

Simulation method

Real-life observations should be made in a complete range of traffic and roadway features for performance evaluation at signalized intersections. Such a procedure

using analytical or traditional methods would be tedious as well as time-consuming for analysing such complex relationships. Thus, simulation approach is considered to analyse various types of traffic behaviour in a complex situation. The simulation method provides a quick response to abstract a traffic scenario using an appropriate calibration and validation procedure⁶⁸⁻⁷¹. Several microsimulation methods have been proposed such as MIXNETSIM⁷², VISSIM^{73,74}, SimTraffic⁷³, CORSIM^{73,75}, HETEROSIM⁷⁶ and AIMSUN⁷⁷ to analyse traffic flow behaviour at signalized intersections. Earlier, models in micro-simulation approaches were informally developed and proposed with default parameter values without any proper calibration results in erroneous simulation output⁷⁸. Thereafter, researchers have found that every simulation model needs a systematic calibration process for reasonable model development^{69,79–81}. A number of suitable methodologies have been proposed for the calibration of the simulation model⁸¹⁻⁸⁴. A simple methodological approach was developed to perform the calibration of a simulation model using the software VISSIM under homogeneous traffic conditions⁸¹. Schultz and Rilett⁸⁵ suggested a calibration process for motor-vehicle distribution using CORSIM. Parameter optimization is a useful calibration technique that uses using various mathematical algorithms. Genetic algorithm is extensively used by researchers for solving optimization problems related to simulation calibration^{84,86–88}. Calibration and model validation are done by comparing the traffic parameters obtained from simulation outputs with the field results. Several traffic parameters such as vehicle delay, headway, travel time and speed are considered as crucial elements for evaluation of simulation output. Delay is a useful measure of effectiveness (MOE) to evaluate the model performance developed in various simulation tools such as VISSIM, CORSIM and AIMSUN^{84,87,89}. Whereas travel time and speed of vehicles are also considered in various simulation approaches for performance evaluation of the model^{81,90}. In the present study, saturation flow, one of the useful performance measures of an intersection is considered as the MOE in the evaluation of the simulation model.

Other methods

In addition to the above listed methods for saturation flow estimation at signalized intersections, a few estimation methods have been explored by researchers in different traffic flow scenarios. Mohan and Chandra⁹¹ proposed a queue clearance-based method for estimating saturation flow value through estimating passenger car unit value. This method considers the queue clearance rate (QCR) as the ratio of the number of vehicles in the queue to the time taken by the queue to clear the conflict area

$$QCR = \frac{N}{T},$$
(9)

$$N = \sum_{j=1}^{k} n_j \, \frac{w_{\text{car}}}{w_j} \, \text{PCE}_j, \tag{10}$$

where w_{car} is the width of standard vehicle (car), w_j the width of vehicle type *j*, PCE_{*j*} the equivalent value of vehicle type *j* and *k* stands is the vehicle category.

Biswas *et al.*⁹² developed a kriging-based approach to analyse the saturation flow value. This method was also used to analyse various adjustment factors such as approach width and right-turn movement of shared lane on saturation flow value. In continuation, Saha *et al.*⁹³ adopted the kriging-based method to analyse saturation flow value for Indian mixed traffic scenario.

Wu⁹⁴ introduced an additive conflict flow theory by considering individual traffic streams and their conflict groups for estimating intersection capacity. Li *et al.*⁹⁵ adopted this method to analyse and develop a saturation flow model at three different traffic flow conditions for a multi-lane signalized intersection. Gap acceptance theory is one of the useful approaches suitable for analysing several types of traffic behaviour at unsignalized interactions, although some studies have been found it useful for evaluating various traffic parameters at signalized intersections.

Preethi and Ashalatha¹⁰¹ suggested a new approach for estimating saturation flow under mixed traffic based on area occupancy. They estimated the equivalent number of car occupancies in the intersection area (N_{cs}) for saturation flow estimation based on the equivalent homogeneous traffic stream area and occupancy time of standard cars during saturated green interval.

Summary of saturation flow estimation methods

An overview of various saturation flow estimation methods for different traffic scenarios has been presented in the previous section. It can be observed that researchers proposed different methods and used several parameters for analysing and developing saturation flow models both for homogeneous and mixed traffic streams. The studies carried out in the initial stages were based on headway ratio method^{10,48,49} and TRL method⁵⁵⁻⁵⁷, suitable for developed countries with homogeneous traffic stream, where cars dominated the traffic flow. Some studies adopted the headway ratio method to estimate saturation flow value at signalized intersections under mixed traffic stream and provided satisfactory results¹⁰²⁻¹⁰⁴. However, the main drawback was that the headway ratio method is only applicable for a steady-state traffic scenario. While for an unsteady traffic environment, the estimation of headway is difficult with high erroneous result. Also, it is

challenging to extract the headway value of all the vehicles for weak lane disciplined mixed traffic stream as vehicles do not follow proper lane discipline. The method considers the flow values of three saturated cycles for estimating saturation flow value. But for weak lane disciplined mixed traffic situation, the flow value at an intersection varies significantly at different cycles due to uneven distribution of traffic while departing. The HCM proposed a detailed methodology for evaluating saturation flow value along with various adjustment factors affecting it⁴. Various researchers both from developed and developing countries have found it more suitable than headway ratio and TRL method. Rahman et al.¹⁰⁵ concluded that the method proposed in the HCM⁴ would overestimate or underestimate the saturation flow value based on field data under mixed traffic conditions. The HCM methodology⁴ is solely appropriate for homogeneous traffic conditions and less suitable for mixed traffic stream, where the nature of traffic movement is totally different compared to the homogeneous traffic. Also, the HCM method⁴ does not consider the effect of individual vehicle category, which is more effective for mixed traffic stream where vehicles usually travel through gaps without maintaining proper lane discipline. The regression method has been effectively used by various researchers at different traffic scenarios to develop saturation flow models with respect to various influencing factors^{7,14,36,60-63}. However, the major drawback of this method is the accuracy level. In most of studies, saturation flow models are found to be linear in nature incorporating few influencing parameters. Thus, there is a high chance of obtaining inaccurate results under different traffic conditions. A few researchers have utilized the optimization method for saturation flow estimation^{24,63,67} where this method is found more suitable with respect to HCM and other methods. The simulation method is widely used as a potential tool to analyse saturation flow at different traffic operations^{69,79-81,84,86-88}. Each study involves calibration of vehicular parameters to reflect the actual traffic behaviour according to the field conditions. Nonetheless, the major disadvantage is its suitability in diverse traffic situation while applying the simulation model. In addition, some methods like the optimization method, kriging-based approach and area occupancy method have been developed especially for mixed traffic stream, and are found suitable for evaluating saturation flow value at signalized intersections.

Various challenges in saturation flow estimation

A number of studies have analysed saturation flow value, including various factors affecting it. In this section, various challenges in estimating saturation flow at signalized intersections are discussed.

Selection of study location

Selection of suitable site is the foremost criterion for estimating saturation flow value. Saturation flow is different for different intersection classes (three-legged, four-legged or multi-legged) with various approach-lane configurations. The geometric features of an intersection significantly affect the saturation flow value.

Data collection and extraction

Data collection and extraction are the most challenging aspects for saturation flow estimation. Several data collection procedures are available such as automatic data collection, videographic technique (using video camera, drone or UAV)^{106,107}, GPS device¹⁰⁸, sensors¹⁰⁹ and loop detectors¹¹⁰. However, each process has its own limitations in collecting traffic data from the field. Automatic data collection is a sophisticated and expensive process, and few automatic sensors are available for data collection. While the video-recording process is easier and affordable, the major issues are placing of camera, parallax effect, occlusion and distortion due to the capturing angle during field data collection. Also, headlight glaring of approaching vehicles during data collection at night significantly affects the traffic data. Bluetooth sensors and GPS devices are effective for traffic data collection. Although the sensors may provide an accurate measure of classified traffic volume count for mixed traffic situations, blue tooth sensors or GPS devices are quite inadequate due to unavailability of blue tooth transmitters and difficulty in installing GPS device in each vehicle type travelling through the intersection. While extracting various traffic characteristics, it is essential to classify the existing traffic into various vehicle classes especially for mixed traffic situation as the vehicle category creates a hindrance in saturation flow analysis. Thus, Indo-HCM has proposed a list of vehicle classes and types according to vehicle static and dynamic properties⁶.

Selection of methodology

Various approaches have been developed both for homogeneous and mixed traffic streams for estimating saturation flow value. Rahman *et al.*¹⁰⁵ found that the HCM method overestimates saturation flow value when compared with the traditional headway method. Biswas *et al.*⁹² proposed that kriging-based approach gives less erroneous result with respect to headway ratio and regression methods. Also, the same method at different locations with diverse traffic scenarios gives distinct results. Therefore, choice of the appropriate method is a major concern for saturation flow estimation.



Figure 1. Saturation flow value in different continents. 1. Bhattacharya and Bhattacharya¹¹. 2. Hussain¹¹¹. 3. IRC SP41: 1994 (ref. 5). 4. IHCM 1997 (ref. 117). 5. Arasan and Vedagiri⁷¹. 6. MHCM 2006 (ref. 119). 7. Radhakrishna and Mathew⁶³. 8. THCM 2011 (ref. 120). 9. Shao *et al.*²². 10. Hussayin and Shoukry¹¹². 11. Webster and Cobbe⁴⁸. 12. Branston¹¹³. 13. Kimber *et al.*⁵⁵. 14. Highway Engineering Laboratory Athens 1990 (ref. 114). 15. Finnish Capacity Manual (ref. 118). 16. German HCM (HBS 2015)¹²¹. 17. HCM 2010 (ref. 4). 18. De Andrade (J. P. De Andrade, unpublished). 19. Miller¹⁰. 20. Austroads 1988 (ref. 115).

Factors affecting saturation flow

Saturation flow is influenced by various factors depending upon the roadway, traffic and control conditions. Table 2 highlights the different factors affecting saturation flow value. Those factors need to be incorporated while estimating saturation flow. Researchers from various countries have found different saturation flow values with respect to various traffic and roadway conditions. Even, within the same country, a distinct value is proposed in different studies and guidelines. Figure 1 shows the saturation flow value obtained by various researchers in different continents.

Conclusion and future direction

The present study is a comprehensive review on various aspects related to saturation flow estimation at signalized intersections. Various studies focused on analysing saturation flow under different traffic conditions are critically reviewed and presented here. We also highlight major factors related to roadway, traffic and control facilities that affect saturation flow value. A list of such factors included in different guidelines proposed by various countries is presented. This study also discusses in detail the various methods proposed both for homogeneous and heterogeneous traffic conditions. The advantages and disadvantages of each existing method are also highlighted. Thus, the significant findings of the present study can be summarized as follows:

(1) Parameters affecting saturation flow value are different both for developed and developing countries due to distinct traffic culture.

(2) Methodologies proposed for homogeneous traffic conditions are less suitable for heterogeneous traffic.

(3) Estimation of saturation flow for mixed traffic is quite difficult due to a variety of vehicles with different variabilities and their weak lane discipline characteristics.

(4) Regression-based saturation flow models are less suitable due to the linear relationship with the influence parameters.

(5) Simulation application for saturation flow estimation is practically location-based. Application of simulation model parameters for other scenarios is questionable.

In addition, this study presents few future directions based on a critical review of previous studies are as follows:

(1) The accurate estimation of saturation flow depends on the quality and quantity of data that represent the exact traffic behaviour through an intersection. The lack of data is one of the major issues, especially for mixed traffic stream where vehicle behaviour is more critical. Also, video-recording process is widely used which needs more efforts for data collection and extraction from the recorded video. At times it is difficult to extract exact data from the collected video due to uneven distribution and weak lane disciplined mixed traffic stream. Thus, new technologies such as drones/UAVs may be used to collect images of traffic flow at all directions and infer the characteristics of each vehicle type. This way there will be a more detailed understanding of the traffic flow of each vehicle type.

(2) A number of methodologies have been proposed for saturation flow estimation both for homogeneous and mixed traffic streams. Headway ratio, TRL and HCM methods are truly based on homogeneous traffic. While regression and simulation methods are majorly locationbased approaches. The model proposed using the two methods for a particular location may not give an

accurate estimation for another location. Optimization and other approaches are explored less as an estimation method. Thus, a gap in the literature is to frame a suitable method for estimating saturation flow value, especially for mixed traffic stream.

(3) Saturation flow varies significantly with location and time. The past studies do not discuss how transferable the saturation flow values are across different cities, different times of the day and days of the week. This may help the transportation engineer to design the intersection geometry and control strategies effectively.

(4) Prediction of saturation flow model is crucial for mixed traffic stream. Most of the predicted models are either based on lane properties or traffic composition with a linear relationship with saturation flow value. Although in a mixed traffic condition, various factors affect saturation flow significantly, which are eliminated due to complex traffic situation. Thus, there is need to develop a combined model with different significant factors affecting saturation flow value.

(5) The use of PCU impairs the understanding of traffic dynamics in mixed traffic environment. A number of methods have been developed to find PCU values, where most of the time researchers have proposed a static unit of each vehicle. In fact, dynamic PCUs might be a good representative of mixed traffic flow conditions. Also, in most of the countries, electric vehicles, automated vehicles or future vehicles can be observed in the traffic stream. Their influence on several traffic flow characteristics at intersections needs to be evaluated.

(6) Various simulation applications on saturation flow prediction have been evaluated for different traffic situations with numerous undefined traffic parameters. In such a scenario, application of agent-based simulation could be reliable to capture vehicle heterogeneity and carfollowing characteristics at a more detailed level.

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